

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17146-0009001	Application No. 10/826,453
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Stephen K. Pinto et al.	
		Filing Date April 16, 2004	Group Art Unit 2128
(37 CFR §1.98(b))			

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	6,026,397	02/2000	Sheppard			
	2	6,684,208	01/2004	Kil et al.			
	3	6,836,773	12/2004	Tamayo et al.			
	4	7,043,461	05/2006	Kehder et al.			
	5	7,047,251	05/2006	Reed et al.			
	6	7,499,897	03/2009	Pinto et al.			
	7	2003/0088565	05/2003	Walter et al.			
	8	2004/0030667	02/2004	Xu et al.			

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	9	WO 02/19061 A2	03/07/2002	WIPO				
	10	WO 03/005232 A2	01/16/2003	WIPO				
	11	1 085 429 A1	03/21/2001	EP				

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	12	Angus, Jeff, "Enterprise Miner 5.1 Digs into Data," <i>InfoWorld</i> , 26(32):22-23 (August 2004)
	13	Autobox 5.0 for Windows – User's Guide, <i>Automatic Forecasting Systems, Inc.</i> (December 10, 1999)
	14	Cabena et al., "Intelligent Minor For Data Applications Guide", <i>IBM Redbook</i> , SG24-5252-00, pp. 9-17, 27-35, 69-77, 87-108, 111-128 (March 1999)
	15	Chapman et al., "CRISP-DM 1.0 Step-by-Step Data Mining Guide," pp. 27-31, 53-59, 71-79 (August 2000)
	16	ForecastPro Product Brochure (www.forecastpro.com), <i>Business Forecasting Systems</i> (2000), (Retrieved from Archive.org December 20, 2005)
	17	Harrison, H.C., "An Intelligent Business Forecasting System", <i>ACM</i> , pp. 229-236 (May 1993)
	18	Haughton et al., "A Review Of Software Packages For Data Mining," <i>The American Statistician</i> , 57(5):290-309 (November 2003)
	19	New technology Card News, 10(6) (April 3, 1995)
	20	Pinto, "Smart Data Use Can Improve Bank Insurance Marketing National Underwriter," 106(20) (July 2002)

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17146-0009001	Application No. 10/826,453
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Stephen K. Pinto et al.	
		Filing Date April 16, 2004	Group Art Unit 2128
(37 CFR §1.98(b))			

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	21	SmartSoftware Web Pages (www.smartcorp.com), <i>Smart Software Inc.</i> , (July 2001) (Retrieved from Archive.org December 20, 2005)
	22	Tashman, "Automatic Forecasting Software: A Survey and Evaluation," <i>International Journal of Forecasting</i> , 7(2) (1991)
	23	Wang et al., "An Expert System for Forecasting Model Selection," <i>IEEE</i> (1992)
	24	Copy of International Search Report for PCT/US05/11749, dated 11/12/06 (12 pages)
	25	PAIR Transaction History for U.S. Application Serial No. 10/826,452, filed on 4/16/2004
	26	PAIR Transaction History for U.S. Application Serial No. 10/826,711, filed on 4/16/2004
	27	PAIR Transaction History for U.S. Application Serial No. 10/826,950, filed on 4/16/2004
	28	PAIR Transaction History for U.S. Application Serial No. 10/826,949, filed on 4/16/2004
	29	PAIR Transaction History for U.S. Application Serial No. 10/826,630, filed on 4/16/2004
	30	PAIR Transaction History for U.S. Application Serial No. 10/826,947, filed on 4/16/2004

Examiner Signature /Russell Frejd/	Date Considered 09/14/2009
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	